

June 17, 2010

TO RAPE CRISIS PROGRAM PROJECT DIRECTORS:

The California Emergency Management Agency (Cal EMA), Public Safety and Victim Services Division is pleased to announce the release of the FY2010/11 Rape Crisis Program (RC) Request for Application (RFA). Instructions and forms are enclosed.

Funding amounts are provisional and contingent upon receipt of federal grant awards and passage of the 2010/11 State Budget Act. Applicant allocations are included on the Fund Chart (Attachment A). This grant period is September 1, 2010 - August 31, 2011.

Submission of required information and documents in an accurate and complete manner will expedite processing of your grant application. *Failure to include information in the manner requested will cause a delay in processing your grant application.* Please be reminded:

- Grant Award Face Sheet Lines 1 & 3: Enter agency name as shown on Fund Chart (Attachment A);
- Original signatures: Required on Grant Award Face Sheet, Certification of Assurance of Compliance; Additional Signature Authorization form, and other forms as required;
- <u>VOCA/VOCA Matched positions</u>: Must perform sexual assault victim services or administrative duties supporting those services;
- Additional Signature Authorization: Financial Officer and Project Director may not be the same;
- Counseling/Training Room Space: Separate line-item, rate and percentage to RC grant;
- Line-item detail and mathematical calculations: Required for every budget item.

<u>VOCA Match Waiver:</u> Non-profit agencies can apply for a waiver of the 20% match requirement if extraordinary need is documented. Waiver requests must be submitted: (a) on your agency letterhead; (b) contain the original signature of your Executive Director; (c) document the need and its impact on VOCA funded services; and (d) <u>be included in your 2010/11 RC Program grant application.</u> Our office cannot guarantee VOCA will approve requests or know when request decisions will be made. If approved <u>prior</u> to your application going into Grant Award, the VOCA Match amounts will be removed from your Grant Award Face Sheet and budget pages, and no additional paperwork will be required. If approved <u>after</u> your application is in Grant Award, a Grant Award Amendment, Grant Award Modification, and new Budget Pages will be required.

<u>Service Standards for the Operation of Rape Crisis Centers:</u> The Service Standards are currently being reviewed for possible revisions. Any revisions will not take effect until FY2011/12.

<u>Training:</u> Centers should budget to attend a one-day Regional Training. Applicable mileage, per diem, and hotel cost should be included in the budget. Anticipated sites are Sacramento, Redding, Fresno, the Bay Area, Los Angeles, and Riverside. There will be no registration fee.

Funds: To reduce reporting requirements, fund types assigned to each Center have been limited.

- Penalty Assessment: Assigned to all Centers to serve sexual assault victims and those affected by the assault; <u>may be used for administrative and indirect costs</u>;
- VOCA/VAWA/SASP: To serve sexual assault victims and those affected by the assault;
 may not be used for administrative or indirect costs.
 - > 11 receive VOCA 20% Match; does not require additional Progress Report.
 - > 73 receive VAWA/SASP No Match; requires additional Progress Reports.

<u>Volunteer Match</u>: VOCA volunteer Match hours resulting from coverage of a Crisis Line are to be counted on an hour-for-hour basis, that is, one hour of volunteered time can equal no more than one hour of Match. Double/back up crisis line coverage cannot be counted as Match since that would result in one hour of actual time being counted as two hours of Match.

Stipend Payments to Volunteers: Stipends to volunteers whose hours are counted as VOCA Match must be deducted from the assigned volunteer rate of pay. For example: \$23,750 VOCA Match is required. Volunteers are valued at \$10/hour and paid a stipend of \$0.50/hour. The calculation should be: 2500 Volunteer Hours @ \$9.50/hour - \$23,750 Match (not 2,370 volunteer hours @ \$10/hour = \$23,750 Match).

Applications must be **postmarked by July 26, 2010. Delivery options are listed in Part I, Section C**, page 1, of this RFA. Please direct questions to your Program Specialist or the Sexual Assault Unit at (916) 327-3672.

Sincerely,

Brendan A. Murphy

Director of Grants Management

RAPE CRISIS PROGRAM - 2010/11

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PART I - OVERVIEW

- A. INTRODUCTION
- B. CONTACT INFORMATION
- C. APPLICATION DUE DATE AND SUBMISSION OPTIONS
- D. ELIGIBILITY
- E. FUNDS
- F. PROGRAM INFORMATION

A. <u>INTRODUCTION</u>

This Request for Application (RFA) provides the information and forms necessary to prepare an application for California Emergency Management Agency (Cal EMA) grant funds. The terms and conditions described in this RFA supersede previous RFAs and conflicting provisions stated in the *Recipient Handbook*. The *Recipient Handbook* provides helpful information for developing the application and is accessible on our website at www.CalEMA.ca.gov. Look on the right side of the Cal EMA homepage under "Quick links" for the *Criminal Justice Programs Recipient Handbook* or scroll over the "Grant Programs" tab, select "Grant Applications & Proposals (RFAs/RFPs)," and then look under the "Related Links" section for *Recipient Handbooks*.

B. CONTACT INFORMATION

Questions concerning this RFA, the application process, or programmatic issues should be submitted by telephone, fax, or e-mail to your Program Specialist or to the Sexual Assault Section at 916-327-3672.

C. APPLICATION DUE DATE AND SUBMISSION OPTIONS

One original and one copy of the application must be delivered to Cal EMA's Public Safety and Victim Services Division by the date and time indicated below. Submission options are:

Regular or overnight mail, postmarked by Monday, July 26, 2010 OR hand delivered by 5:00 p.m. on Monday, July 26, 2010, to:

California Emergency Management Agency Public Safety and Victim Services Programs Division Criminal Justice Programs 3650 Schriever Avenue Mather, CA 95655

Attn: Rape Crisis Program – Sexual Assault Section

D. **ELIGIBILITY**

Only Rape Crisis Program recipients funded in 2009/10 are eligible to apply.

Recipients that are Community Based Recipients (CBOs) must be active California nonprofit corporations in good standing. The Application Appendix must include a copy of the most recently filed version of one of the following forms:

- IRS Form 990;
- State of California Franchise Tax Board Form 199; or
- State of California Board of Charitable Trusts Form CT2.

E. FUNDS

The grant period will begin September 1, 2010 and end August 31, 2011. Projects must budget for a 12-month period. The amount of funding for which applicants may apply is listed on the 2010/11 Funding Chart (Attachment E), which shows the type and amount of funds assigned to each project.

Continuation funding is contingent upon the availability of funds and satisfactory performance. Competition for funds may occur if one of the following circumstances occurs: (1) additional funding is received that allows for the establishment of new service areas (e.g., a satellite office or new rape crisis center in unserved or underserved areas); (2) a currently funded rape crisis project curtails provision of services; or (3) a currently funded rape crisis project is de-funded by Cal EMA.

F. PROGRAM INFORMATION

1. Program Guidelines

The Service Standards for the Operations of Rape Crisis Centers (Attachment F), hereinafter referred to as Service Standards, must be adhered to by all Cal EMA funded rape crisis centers.

2. Americans with Disabilities Act

Applicants must be in compliance with the Americans with Disabilities Act (ADA) of 1990, 42 U.S.C. 12101, et seq. and Title 28 of the Code of Federal Regulations, part 35. The applicant shall operate so that each service is accessible to, and usable by, individuals with disabilities. Applicants may comply with the requirements of this section through such means as redesign of equipment, reassignment of services to accessible buildings, assignment of aides to beneficiaries, home visits, delivery of services at alternative accessible sites, alteration of existing facilities and construction of new facilities, use of accessible rolling stock or other conveyances, or other methods that result in making services, programs, or activities readily accessible to, and usable by, individuals with disabilities.

Applicants must ensure that communication with individuals with disabilities is as effective as communication with others without disabilities. This includes the use of telecommunications systems for communications by telephone. Applicants must also ensure that individuals with impaired vision or hearing can obtain information as to the existence and location of accessible services, activities, and facilities, as well as provide appropriate signage. This includes all written materials i.e., brochures, applications, consents, videos, etc.).

Applicants must ensure that all aspects of employment comply with ADA, including the application process (recruitment, hiring) and employment tasks.

3. Source and Use of Funds – State and federal funds available for 2010/11 are as follows:

a. State Penalty Assessment Funds and General Fund

The Penalty Assessment Funds are based on penalties levied against persons convicted of state crimes. The use of these funds is restricted to operation of the 24-hour crisis line, follow-up counseling services, in-person counseling services, accompaniment services, advocacy services, information and referral services, and community education programs. All of these services and programs must be sexual assault specific. State funds may also be used to provide bednight services for sexual assault victims. Reasonable administrative expenses that appropriately support these services are allowable. If a project needs to use Cal EMA State funds to match non-Cal EMA grants, they should contact their Program Specialist for assistance

in completion of paperwork needed to obtain written approval from Cal EMA, as provided in Recipient Handbook Section 6522.

b. Federal Victims of Crime Act (VOCA)

The VOCA grant program is authorized by the Victims of Crime Act of 1984, as amended, 42 U.S.C. 10601, et seq. VOCA authorizes federal financial assistance to states for the purpose of compensating and assisting victims of crime, providing funds for training and technical assistance, and assisting victims of federal crimes. The following guidelines apply to VOCA funds:

- Funds may be used for organizational memberships in no more than three national or state criminal justice or victims' organizations per year.
- Funds are restricted to the provision of direct services for crime victims.
- Funds may be used for administrative costs supporting direct client services, e.g., data collection.
- Funds may be used for air conditioning equipment offering climate comfort for clients.
- Funds may NOT be used for indirect costs, which must be computed using the rate specified in the Recipient Handbook Section 2220 and must be paid with other sources.
- Funds may NOT support services and activities to incarcerated individuals even when the service pertains to the victimization of that individual.
- Funds may NOT be used for building liability insurance.
- Funds may be used for costs related to conducting community and school presentations
 when the primary purpose of the presentation is to identify crime victims and provide, or
 refer, victims to services, e.g., salaries, materials, brochures, newspaper notices).
- Applicants must use volunteers unless there is a compelling reason to waive this requirement.
- Applicants must not charge crime victims for services.
- Applicants must help victims apply for compensation benefits. Such assistance may
 include identifying and notifying crime victims of the availability of compensations,
 assisting them with applications forms and procedures, obtaining necessary
 documentation, and/or checking on claim status. To that end, applicants must
 collaborate with their local victim/witness agency, and provide a Memorandum of
 Understanding (MOU) between the applicant and the local victim/witness agency.
- Applicants must promote coordinated public/private efforts to aid crime victims
 (participate on state/federal/local task forces, commissions, working groups, coalitions
 etc.), and develop written agreements contributing to better services for crime victims.
 These types of activities benefit crime victims and must be undertaken by victim service
 organizations in order to be eligible to recover VOCA funds. Therefore, these types of
 activities may not be supported with VOCA funds, which are restricted in their use to
 provision of direct victim services.
- Applicants must comply with National Historic Preservation Act (16 U.S.C., Section 470, et seq., as amended), which states prior to use of grant funds to renovate, alter, or otherwise improve exterior or interior of a building, applicants must notify Cal EMA for approval. Applicants must maintain records establishing all proposed renovation work.
- Applicants must complete the Certification Regarding Environmental Tobacco Smoke
 Public Law 103-227, Part C Environmental Tobacco Smoke, also known as the Pro
 Children Act of 1994 (Act). This Act requires that smoking not be permitted in any
 portion of any indoor routinely owned or leased or contracted for by an entity and used
 routinely or regularly for provision of health, day care, education, or library services to
 children under the age of 18, if the services are funded by Federal programs either
 directly or through State or local governments, by Federal grant, contract, loan, or loan
 guarantee. The law does not apply to children's services provided in private residences,

facilities funded solely by Medicare or Medicaid funds, and portions of facilities used for inpatient drug and alcohol treatment. Failure to comply with the provisions of law may result in the imposition of a civil monetary penalty of up to \$1,000 per day and/or the imposition of an administrative compliance order on the responsible entity.

• Original publications (written, visual or sound (produced in whole or in part with VOCA funds must contain the following statement:

Funding is made possible through the United States Department of Justice, Victims of Crime Act, 2020-VA-GX-4006.

By signing and submitting this application, the applicant/recipient certifies that it will comply with the requirements of the Act. The applicant/recipient further agrees that it will require the language in this certification be included in any sub-awards which contain provisions for the sexual assault services and that all sub-recipients shall certify accordingly.

<u>VOCA Required Match</u> (2010/11 Funding Chart – Attachment E)

All grants that include VOCA funds must provide a cash and/or in-kind match, and budget line item(s) must show how that match will be met. All match items must be for VOCA-allowable purposes such as direct services to sexual assault victims or administrative costs supporting these services, such as data collection.

If volunteer time is used, the line item detail must include: the amount of hours volunteered, a rate of pay that does not exceed that paid to staff performing similar duties, and brief job description.

EXAMPLE:

Volunteer In-Kind Match 2497 hours @ \$10.00 per hour \$24,970 Crisis line, Follow-up, Counseling & Advocacy to sexual assault victims

c. Violence Against Women Act – Services*Training*Officers*Prosecution (VAWA S*T*O*P)

S*T*O*P is one of the many programs contained with the VAWA. The purpose of the VAWA S*T*O*P Program is to assist State and local governments to develop and strengthen effective criminal justice strategies to combat violet crimes against women, and to develop and strengthen victim services in cases involving violent crimes against women, including sexual assault, domestic violence, and stalking.

- VAWA S*T*O*P funds can support services to incarcerated women.
- VAWA S*T*O*P funds cannot be used to purchase vehicles.

VAWA S*T*O*P requires a 25% match of non-profit victim service organizations. Cal EMA, as the State grantee and administrator of VAWA S*T*O*P funds, is meeting the match requirements on behalf of the victim services organizations and intends to continue doing so to the extent possible. However, if this is no longer possible in the future, applicable victim services projects will be notified and budgets will need to be modified to incorporate the 25% cash and/or in-kind match requirement.

Original signatures (written, visual, or sound) produce in whole or in part with S*T*O*P funds must contain the following statement:

"This project was supported by Grant No. _____ awarded by the Office on Violence Against Women, U.S. Department of Justice, and through Grant Award Number ____ from the California Emergency Management Agency (Cal EMA). Points of view, opinions, findings and conclusions in this publication are those of the author and do not necessarily represent the official position or policies of the U.S. Department of Justice or of Cal EMA. Cal EMA reserves a royal-free, nonexclusive, and irrevocable license to reproduce, publish, and use these materials and to authorize others to do so."

d. <u>Sexual Assault Services Program (SASP) Funds</u> – The SASP, created by the Violence Against Women and Department of Justice Reauthorization Act of 2005, 42 U.S.C. 14043g, is the first federal funding stream solely dedicated to the provision of direct intervention and related assistance for victims of sexual assault. The purpose of SASP is to provide intervention, advocacy, accompaniment, support services, and related assistance for all victims of sexual assault, family and household members of victims, and those collaterally affected by the sexual assault.

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PART II – INSTRUCTIONS

- A. PREPARING AN APPLICATION
- B. PROJECT NARRATIVE
- C. PROJECT BUDGET
- D. APPLICATION APPENDIX

A. PREPARING AN APPLICATION

The instructions in this section correspond to each of the application components and to the forms required to complete the application.

The applicant must use the forms provided in Part IV of this RFA or on our website at www.CalEMA.ca.gov. The forms must be printed on plain white 8½" x 11" paper for the application. The Project and Budget Narrative templates provided on the website are formatted to Cal EMA standards. If you create your own Project and/or Budget Narrative forms, the format must duplicate the Cal EMA templates and not allow for more space than provided by Cal EMA. If a space limitation is specified for a component, strict adherence to the space limitation is required.

Please provide the eleven (12) required application components in the order listed below:

- Application Cover Sheet (included in Part IV)
- Rape Crisis Program Checklist
- Grant Award Face Sheet (Cal EMA 2-101)
- Project Contact Information (Cal EMA 2-102)
- Signature Authorization and Instructions (Cal EMA 2-103)
- Certification of Assurance of Compliance VOCA (Cal EMA 2-104f) <u>OR</u>
- Certification of Assurance of Compliance VAWA (Cal EMA 2-104g) OR
- Certification of Assurance of Compliance VAWA & VOCA (Cal EMA 2-104h)
- Project Narrative (Cal EMA 2-108)
 - Objectives and Activities;
 - o Human Relations Training narrative;
 - Diversity in Services and Operations chart; and
 - Diversity in Operations/Services to Marginalized Populations narrative
- Project Budget (Including the Budget Narrative (Cal EMA 2-107) and the Budget Forms (Rape Crisis Program Budget Pages)
- Project Service Area Information (Cal EMA -154)
- Application Appendix (refer to Part II, D)

Copies of the application must be assembled separately and individually fastened in the upper left corner. **Do not bind the application.**

B. PROJECT NARRATIVE

1. PLAN:

a. Objectives and Activities – 12-month grant period

Include Objectives and Activities for the 12-month 2010/11 grant period. Each Objective must include Activities that describe the steps necessary to achieve the objective. List Objectives on the left side and Activities on the right side of the page.

b. Human Relations Training (Service Standards Section C)

All staff and volunteers working with sexual assault victims must receive Human Relations Training on an annual basis. This training is in addition to the mandated 40-hour sexual assault counselor training.

Applicants must describe the content and provide the title of the Human Relations Training planned for 2010/11. The training must focus on group(s) in your service area which have experienced discrimination and prejudice, and how the discrimination and prejudice affects the delivery of sexual assault services by your center. The following are suggested, not required, topics: gay men; lesbian survivors; HIV/AIDS – stereotyping and discrimination; disabled victims; mentally ill victims; oppression and sexual assault trauma; homophobia; elderly victims; sex trafficking; sexual assault as it relates to different cultures and ethnicities; and sex industry workers.

- c. Diversity in Services and Operations (Service Standards Sections D.3. and E.3.)
 - 1) **Discuss** your agency's efforts to achieve this goal (e.g., a plan adopted by the governing board to meet the recruitment criteria, recruitment efforts in ethnic newspapers and newspapers of general circulation, outreach to local leaders and community groups, colleges/universities, etc.).
 - 2) **Complete** the Diversity in Services and Operations chart (Tables A and B) and insert it in the Narrative section of your application immediately following your response to (a).
 - 3) **Marginalized Populations** Discuss your outreach efforts to marginalized groups in your service area (e.g., low-income, single parents, LGBTQ, etc.).
- d. Project Summary

Complete the Rape Crisis Project Summary form and include it in the Application Appendix.

2. IMPLEMENTATION:

a. Organizational Chart

The Application Appendix must contain a current organizational chart showing the relationships between the governing body, the organization, the project, project staff, project volunteers and the times of supervision. Job titles on the organizational chart must match those in the Budget.

b. Operational Agreements

Operational Agreements (OAs) demonstrate a formal system of networking and coordination between other agencies and the project. OAs must contain applicable elements delineated in Recipient Handbook Section 4300. A sample OA is provided in the RFA Appendix.

Complete the Operational Agreement Summary Form (Cal EMA 2-160) and include it in the Application Appendix. List those agencies, organizations and individuals with whom the project must have OAs for 2010/11 and the years covered by those agreements (one, two, or three years). A new OA need **not** be obtained if the current one covers 2010/11. When this is not the case, a new OA for 2010/11 (at a minimum) must be obtained. OAs with the following agencies **must be on file at the project and available for Cal EMA review by September 1, 2010:** law enforcement agencies; prosecutor's offices; medical providers that conduct forensic sexual assault examinations; Victim/Witness project; and therapists and/or mental health service providers to which the applicant refers for long-term counseling, if applicable.

C. PROJECT BUDGET

The purpose of the project budget is to demonstrate how the applicant will implement the plan with the funds available through this program. The budget is the basis for management, fiscal review, and audit. Project costs must be directly related to the objectives and activities of the project. The budget must cover the entire grant period. In the budget, include *only* those items covered by grant funds, including match funds when applicable. Projects may supplement grant funds with funds from other sources. However, since approved line items are subject to audit, applicants should not include in the project budget matching funds (if applicable) in excess of the required match. Budgets are subject to Cal EMA modifications and approval.

Cal EMA requires the applicant to develop a *line item* budget which will enable the project to meet the intent and requirements of the program and ensure successful and cost effective implementation of the project. The applicant should prepare a realistic and prudent budget avoiding unnecessary or unusual expenditures which detract from the accomplishment of the objectives and activities of the project.

Note: The following information is provided to assist in the preparation of the budget:

- Strict adherence to required and prohibited items is expected.
- Where the applicant does not budget for a required item, the applicant assumes responsibility.
- Failure of the applicant to include required budget items does not eliminate responsibility to comply with those requirements during the implementation of the project.

The applicant should refer to the *Recipient Handbook* for additional information concerning Cal EMA budget policy or to determine if specific proposed expenses are allowable. The *Recipient Handbook* is accessible on our website at www.CalEMA.ca.gov. Look on the right side of the Cal EMA homepage under "Quick links" for the *Criminal Justice Programs Recipient Handbook* or scroll over the "Grant Programs" tab, select "Grant Applications & Proposals (RFAs/RFPs)," and then look under the "Related Links" section for *Recipient Handbooks*. Should you have additional budget questions, contact the person listed in Part I, B.

Specific Budget Categories

In Part IV of this RFA, or on our website, you can access <u>"RC Budget Pages"</u> Excel spreadsheets for each of the following three budget categories:

a. Personal Services – Salaries/Employee Benefits

1) Salaries

Personal services include services performed by project staff directly employed by the applicant and must be identified by position and percentage of salaries. They may be salaried or hourly, full-time or part-time positions. Sick leave, vacation, holidays, overtime, and shift differentials must also be budgeted as a part of salaries. If the applicant's personnel have accrued sick leave or vacation time prior to the approval of grant funding, they may not take time off using project funds. Salaries for staff not directly employed by the applicant must be shown as participating staff (see *Recipient Handbook*, Section 4500) in the Operating Expenses Category. Consultant services remain under Operating Expenses (refer to Part II, C.2.b. - Operating Expenses - paragraph two.)

2) Benefits

Employee benefits must be identified by type and percentage of salaries. The applicant may use fixed percentages of salaries to calculate benefits. Budgeted benefits cannot exceed those already established by the applicant.

Employer contributions or expenses for social security, employee life and health insurance plans, unemployment insurance, and/or pension plans are allowable budget items. Benefits, such as uniforms or California Bar Association dues are allowable budget items if negotiated as a part of an employee benefit package.

A line item is required for each different position/classification, but not for each individual employee. If several people will be employed full-time or part-time in the same position/classification, provide the number of full-time equivalents (e.g., three half-time clerical personnel should be itemized as 1.5 clerical positions).

b. Operating Expenses

Operating expenses are defined as necessary expenditures other than personal salaries, benefits and equipment. Such expenses may include specific items directly charged to the project. The expenses must be grant-related (i.e., to further the program objectives as defined in the grant award) and be encumbered during the grant period.

The following items fall within this category: consultant services such as subcontractors, participating staff who are not employed by the applicant, travel, office supplies, training materials, research forms, equipment maintenance, software equipment rental/lease, telephone, postage, printing, facility rental, vehicle maintenance, answering service fees and other consumable items. Furniture and office equipment with an acquisition cost of less than \$5000 (including tax, installation, and freight) and/or with a useful life of less than one year fall within this category. Otherwise, these fall under equipment expenses.

Salaries for staff not directly employed by the applicant must be shown as consultant and/or participating staff costs (whichever is applicable per *Recipient Handbook* Sections 3710 and 4500), under the Operating Expenses category. These costs must be supported by an Operational Agreement (OA), which must be kept on file by the recipient and made available for review during a Cal EMA site visit, a monitoring visit, or an audit.

Budget for anticipated training related to the project. The applicant must include sufficient per diem and travel allocations for person(s) to attend required Cal EMA training conferences or workshops.

c. Equipment

Equipment is defined as nonexpendable tangible personal property having a useful life of more than one year and an acquisition cost of \$5000 or more per unit (including tax, installation, and freight).

A line item is required for different types of equipment, but not for each specific piece of equipment (e.g., three laser jet printers must be one line item, not three).

NOTE: The left column of each budget category on the Spreadsheet requires line item detail including the calculation and justification for the expense. Enter the *whole dollar amount only* (no cents) on each line item and the match amount (if applicable) in the correct column of the Budget Category form. You may add extra rows if necessary. The spreadsheets automatically calculate the subtotal at the end of each budget category and provide the total of the three spreadsheets at the bottom of the Equipment page. The total of the budget including each funding source and/or match amount must correspond to the amount of the Total Project Cost (Block 10G) on the Grant Award Face Sheet.

D. APPLICATION APPENDIX

The application appendix provides Cal EMA with additional information from the applicant to support components of the application. The following must be included:

- Rape Crisis Project Summary
- Proof of Nonprofit Status
- Organizational Chart:

The Organizational Chart should clearly depict the structure of the applicant organization and the specific unit within the organization responsible for the implementation of the project. This chart should also depict supporting units within the organization (e.g., the Accounting Unit) and the lines of authority within the organization. Job titles on the Organizational Chart must match those in the Budget and Budget Narrative.

- Noncompetitive Bid Request (Cal EMA 2-156) (if applicable)
- Out of State Travel Request (Cal EMA 2-158) (if applicable)
- Emergency Fund Procedures (Cal EMA 2-153) (if applicable)
- Computer and Automated Systems Purchase Justification Guidelines (Cal EMA 2-157) (if applicable)

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PART III – POLICIES AND PROCEDURES

NOTE: The applicant is strongly encouraged to review the following sections before preparing the application.

- A. FINALIZING THE GRANT AWARD AGREEMENT
- B. ADMINISTRATIVE REQUIREMENTS
- C. BUDGET POLICY

A. FINALIZING THE GRANT AWARD AGREEMENT

Standard Project Funding Authority

Allocation of funds is contingent on the enactment of the State Budget. Cal EMA does not have the authority to disburse funds until the budget is passed and the Grant Award Agreement is fully executed. Expenditures incurred prior to authorization are made at the project's own risk and may be disallowed. Cal EMA employees are not able to authorize an applicant to incur expenses or financial obligations prior to the execution of a Grant Award Agreement. However, once the Grant Award Agreement is finalized the Grant Recipient may claim reimbursement for expenses incurred on, or subsequent to, the start of the Grant Award period.

If, during the term of the grant award, the state and/or federal funds appropriated for the purposes of the grant award are reduced or eliminated by the California Legislature or the United States Government, or in the event revenues are not collected at the level appropriated, Cal EMA may immediately terminate or reduce the grant award by written notice to the recipient. However, no such termination or reduction shall apply to allowable costs already incurred by the recipient to the extent state or federal funds are available for payment of such costs.

Cal EMA Grant Award Agreements are subject to applicable restrictions, limitations, or conditions enacted by the California Legislature and/or the United States Government, subsequent to execution of the Grant Award Agreement.

2. Processing Grant Awards

a. Grant Award Conditions

Cal EMA may add grant award conditions to the Grant Award Agreement prior to or after funding. If conditions are added, these will be discussed with the applicant and a copy of the conditions will be sent to the grant recipient when the conditions are made part of the Grant Award Agreement. Grant award conditions may include requirements for sole source justification, a computer feasibility study, or other requirements deemed necessary by Cal EMA.

b. Grant Award Agreement

A copy of the executed Grant Award Agreement and pertinent attachments will be sent to the Project Director. The applicant is not authorized to incur costs against the grant until a copy of the fully executed Grant Award Agreement is received. When the executed grant is received a Report of Expenditures and Request for Funds (Cal EMA 2-201) may be submitted for reimbursement.

c. Grant Award Amounts

When the amount of funds available is limited, Cal EMA may reduce the amount of the grant award from the amount requested by the applicant. In addition, Cal EMA reserves the right to negotiate budgetary changes with the applicant prior to executing the Grant Award Agreement. If either of these actions is required, Cal EMA will notify the applicant prior to executing the Grant Award Agreement.

B. ADMINISTRATIVE REQUIREMENTS

The following requirements apply to projects selected for funding and are explained below for the recipient's planning purposes.

1. The Recipient Handbook (RH)

The *Recipient Handbook* is accessible on our website at www.CalEMA.ca.gov. Look on the right side of the Cal EMA homepage under 'Quick links' for the Criminal Justice Programs Recipient Handbook or scroll over the "Grant Programs" tab, select "Grant Applications & Proposals (RFAs/RFPs)," and then look under the "Related Links" section for "*Recipient Handbooks*". The *Recipient Handbook* contains administrative information and requirements necessary to implement the project. Recipients must administer their grants in accordance with the *Recipient Handbook* requirements. Failure to comply with these requirements can result in the withholding or termination of the grant award.

2. Internet Access (RH 11500)

Funded projects are required to maintain Internet access with an established e-mail address. Grant funds may be used for this purpose unless specifically prohibited by the RFA instructions.

3. Progress Reports and Data Collection (*RH* 10100)

Funded projects are required to participate in data collection and to submit progress reports required by the program. Projects are required to keep accurate records to document the information reported in the progress reports. The records must be kept by the project for a period of three years. During site/monitoring visits, Cal EMA will review these records for accuracy and compare them with the reported data submitted on the progress reports.

4. Monthly/Quarterly Report of Expenditures/Request for Funds (*RH* 6300)

Community-based organizations (CBOs) shall submit a monthly Report of Expenditures and Request for Funds (Cal EMA 2-201) unless they request a quarterly reporting period. Government and education agencies receiving funds will be required to report on a quarterly basis. This form is due within 30 calendar days of the end of the reporting period and must be submitted whether or not the project has incurred expenses. Delays in submitting the form Cal EMA 2-201 will result in the withholding of funds and may result in the recommendation to Cal EMA's Executive Secretary for termination of the grant award.

5. Technical Assistance/Site Visits (RH 10300)

Funded projects are assigned a Cal EMA program specialist to oversee the progress of the project in achieving its goals, objectives and compliance with the Grant Award Agreement. Program specialists are available to assist the recipient in the successful implementation of the project and in meeting the administrative requirements of the Grant Award Agreement. New projects should

expect a site visit from the assigned program specialist within the first six months of the grant period. Follow-up site visits will be conducted periodically throughout the life of the grant. Projects may request a site visit to obtain technical assistance.

6. Monitoring Requirements (*RH* 10400)

A monitoring visit is an onsite assessment by staff to determine if the project is in compliance with the terms of the program, the Grant Award Agreement, the Program Guidelines, the RFA/RFP, and the Recipient Handbook. Projects will be monitored on a random or as-needed basis.

7. Audit Requirements (*RH* 8100)

To safeguard Cal EMA assets and to ensure that all funds are accounted for, Cal EMA requires that organizations receiving Cal EMA grant award(s) be audited in accordance with Recipient Handbook section 8100.

8. Source Documentation (*RH* 10111)

Recipients will be required to maintain source documentation to support claimed expenditures and project accomplishments. Source documentation is defined as records used to validate project activities and achievements pertaining to the objectives outlined in the Grant Award Agreement. Recipients are to retain source documentation for progress reports on a quarterly basis, regardless of submission requirements. Requirements and definitions for program specific source documentation are delineated in the RFA instructions. Recipients will be required to have written job descriptions on file for positions funded by Cal EMA detailing specific grant-related activities to achieve project objectives.

9. Bonding Requirements (*RH* 2160)

Private community-based organizations and American Indian organizations are required to obtain and send to Cal EMA a copy of a blanket fidelity bond or equivalent insurance contract applicable to officials and employees of Cal EMA-funded projects within 60 days of the signed Grant Award Agreement. Failure to comply with this requirement may result in the withholding of grant funds or termination of the Grant Award Agreement. The beneficiary named on the bond or an endorsement must include the "State of California, California Emergency Management Agency" and include the Grant Award number for identification purposes.

The time period covered by the bond must include the effective date and total time period of the grant, including extensions. The bond must be in an amount equal to 50 percent (50%) of the total grant award and may have a deductible in an amount not to exceed one percent (1%) of the bond.

A bond is not required of a recipient sponsored by units of government. CBOs sponsored by units of government may submit documentation indicating this in lieu of the bond or insurance contract, unless specifically required terms of the program or grant award conditions.

10. Copyrights, Rights in Data, and Patents (*RH* 5300-5400)

Cal EMA owns rights of and reserves a royalty-free, nonexclusive, and irrevocable license to reproduce, publish, and use, in whole or in part, material produced by activities supported by a Grant Award Agreement. These ownership rights are detailed in the Recipient Handbook.

C. BUDGET POLICY

This document summarizes information on Cal EMA Budget Policy contained in the *Recipient Handbook*. Additional information may be obtained by accessing the Recipient Handbook at www.CalEMA.ca.gov. Look on the left side of the Cal EMA homepage under "Quick links" for the *Criminal Justice Programs Recipient Handbook*, or scroll over the "Justice Programs" tab, select "Grant Applications & Proposals (RFAs/RFPs)," and then look under the "Related Links" section for *Recipient Handbooks*.

1. Supplanting Prohibited (*RH* 1330)

Grant funds must be used to supplement existing funds for program activities and *not replace* funds appropriated for the same purpose. If selected for funding, a written certification must be provided to Cal EMA indicating grant funds will not be used to supplant existing funds. Potential supplanting will be the subject of application review, post-award monitoring, and audit. The rules on supplanting are found in Section 1330 of the *Recipient Handbook*.

2. Project Income (RH 6610)

Project income, such as client fees and fees for services provided by the recipient (i.e., training, presentations, etc.), asset forfeitures, profits from the sale of project products, and conference proceeds as the result of a direct trade of time or products for money must be used to offset or augment the grant, unless otherwise specified in the RFA instructions. Project income cannot be used as matching funds, unless otherwise specified in the RFA instructions.

3. Methods of Contracting and/or Procurement (*RH* 3400)

A competitive bid process is required to purchase equipment or consultant services with grant funds. Noncompetitive bid contracts are disfavored. Noncompetitive bid request approval by Cal EMA program staff is required prior to the purchase of equipment in excess of \$5,000, or to hire a specific consultant charging over \$5,000. Local units of government may use their approved procurement policy except for contracts over \$50,000 which require prior Cal EMA approval. For organizations without a written procurement policy, a competitive bid process involves determining the specifications for the items needed and obtaining at least three bids from different vendors. Whenever a specific individual/organization name is identified in the project budget, a narrative describing the competitive bid process or a sole-source procurement (noncompetitive bid) request will be required. Cal EMA will provide assistance in submitting a noncompetitive bid request if the proposal is selected for funding and if Cal EMA determines it is in the best interest of the project. These procedures do not apply to funds shared with participating agencies under the terms of an Operational Agreement (see Section 4500, *Recipient Handbook*).

4. Match Requirements (*RH* 6500)

The RFA Instructions (Part II) may specify a cash or in-kind match. When used to augment the project, expenditures for items such as Personal Services, Operating Expenses or Equipment are considered match if not in violation of the prohibition on supplanting. Match must be specified in the budget and will become part of the Grant Award Agreement. Specific instructions for calculating the match are provided below. There are examples of how to calculate the match requirement in Sections 6550-6550.2 of the *Recipient Handbook*.

a. State Funds Matching State or Federal Funds (*RH* 6522)

State and/or federal funds can be used to match other state and/or federal funds *only* if the following conditions have been met:

- 1) the other funding source does not prohibit this practice;
- 2) the funds are to be used for identical activities (e.g., to augment the project); and
- 3) the project has obtained prior written approval from Cal EMA or the terms of the program allow this practice.

b. Type of Match

1) Cash Match (*RH* 6511)

Cash match, also known as hard match, is often derived from the local funding resources committed to a project such as county general fund revenue, United Way contributions, private donations or profits from fund-raising events. When used to augment the project, cash expenditures for items such as personnel, facilities and supplies may be considered cash match if not in violation of the prohibition on supplanting. A cash match must be specifically identified by line item as match in the budget.

2) In-Kind Match (*RH* 6512)

In-kind match, also known as soft match, refers to goods and services which are contributed to the project, have a dollar value attached to them, and are also budgeted. In-kind contributions represent the project's non-cash outlay, including the non-cash outlay contributed by other public agencies and institutions, private organizations, and individuals. Examples include the donation of goods and volunteer time. In general, the value of in-kind contributions is determined by fair market value, which must be separately identified in the budget.

5. Travel Policies (RH 2236)

The following is Cal EMA's current travel policy:

a. Travel and Per Diem (RH 2236)

The applicant may prepare the budget using its own travel policy or the state travel policy according to the following guidelines. Travel reimbursement will only be allowed based on actual costs.

1) Units of Government

Units of government may use their own written travel policy or the state policy.

2) Community-Based Organizations (CBOs)

A community-based organization may use the state travel policy or the applicant's written policy up to the maximum rates allowed by the state travel policy.

b. State Travel and Per Diem Policy (RH 2236.2)

Use the following state travel policy for budgeting travel expenses:

1) Out-of-State Travel

Out-of-state travel is restricted and only allowed in exceptional situations. Requests for approval for out-of-state travel must be submitted for Cal EMA approval.

2) Meals and Incidentals

a) Breakfast \$6.00

Breakfast may be claimed when travel commences at or prior to 6:00 a.m. Breakfast may be claimed on the last fractional day of a trip of more than 24 hours if travel terminates at or after 8:00 a.m.

b) Lunch \$10.00

Lunch may not be claimed for travel less than 24 hours. Lunch may be claimed if the trip begins at or before 11:00 a.m. and may be claimed on the last fractional day of a trip of more than 24 hours if the travel terminates at or after 2:00 p.m.

c) Dinner \$18.00

Dinner may be claimed if the trip begins at or before 5:00 p.m. Dinner may be claimed when travel terminates at or after 7:00 p.m., whether on a one-day trip or on the last day of a trip of more than 24 hours.

d) Incidentals \$6.00

Incidentals may be claimed for trips of 24 hours or more.

e) Total Per Diem

The maximum is \$40.00 for a 24-hour period.

3) Lodging

The maximum allowed lodging expense is \$84.00, plus applicable taxes, (except as noted below). Lodging receipts are required for reimbursement.

4) Special Lodging Rates

The maximum allowed lodging rate in Los Angeles and San Diego counties is \$110.00, plus applicable taxes. The maximum for Alameda, San Francisco, San Mateo, and Santa Clara counties is \$140.00, plus applicable taxes.

5) Mileage

When a privately owned vehicle is utilized on project-related business, a maximum of 50 cents per mile is allowed, unless a higher rate is justified. Documentation justifying a higher rate must be on file and available for audit, but should not be submitted with the application.

6) Other

Taxi, airport shuttle, etc., which exceed \$3.50 must be supported by receipt. Parking in excess of \$10.00 must be supported by receipt.

6. Participating Staff (*RH* 4500)

The term "participating staff" refers to salaried employees of a participating agency assigned to work with the recipient on the implementation of a project. The agreement between the recipient and the participating agency concerning participating staff must be reflected in the OA. Grant related costs associated with participating staff must be itemized in the Operating Expenses category of the grant budget.

7. Independent Contractor/Consultant Services (*RH* 3710)

Consultant services are provided on a contractual basis by individuals or organizations not directly employed by the applicant. Independent contractors must not be used in lieu of employees. Independent contractors are defined as individuals or organizations meeting some or all of the following criteria:

- produce a specific product or service;
- work independently without direct supervision from the applicant;
- work on specific projects;
- provide services for a limited number of hours or period of time; and/or
- have no agency management or oversight responsibilities directed toward the financial success or direction of the agency

a. Rates (3710.1)

The maximum rate for independent contractors is \$250.00 per hour (excluding travel and subsistence costs). Compensation over \$250.00 per hour requires additional justification and *prior approval* from Cal EMA.

1) Independent Contractors Employed by State and Local Government

Compensation for independent contractors will be allowed when the unit of government will not provide its services without cost. In these cases, the rate of compensation is not to exceed the daily salary rate paid by the unit of government.

b. Expert Witness Fees (RH 3710.2)

Projects, which routinely utilize "expert witnesses" as independent contractors to conduct evaluations and provide expert testimony in the courtroom, may budget for this expense. However, the project may only be charged for costs above what the jurisdiction is required to cover. The maximum allowable rate for such witness fees is \$250.00 per hour up to \$2,000 per day. The total amount budgeted for expert witness fees must not exceed ten percent (10%) of the project's total budget. Requests for proposed expert witness costs must be accompanied by written justification indicating the following:

 qualifications, training, and experience of the expert(s), including a statement regarding recognition by the court of the individual as an expert;

- specialized certification/licensure [e.g., Masters in Social Work (MSW); Licensed Clinical Social Worker (LCSW), Marriage and Family Therapist (MFT); Medical Doctor (MD)];
- rate of pay per hour including documentation of a survey of the availability of similar consultants, the current "going rate," and the proposed rate of pay with a cost breakdown if expert is paid according to services (e.g., mileage, waiting time, court testimony);
- proposed services to be provided (e.g., analysis of forensic evidence, psychological evaluation); and
- justification why this cost cannot be paid with other funds (attach the justification to Cal EMA 2-106).

8. Facility Rental (RH 2232)

Up to \$21.00 per square foot annually (\$1.75 per square foot per month) is allowed for facility rental. If the rental cost for office space exceeds this rate, it must be consistent with the prevailing rate in the local area. This documentation must be on file and available for audit and should not be submitted with the application.

Rental Space for Training and Counseling Rooms (RH 2232.1)

Rental space for training and individual and/or group counseling rooms may also be charged to the grant, providing the charge is based on actual costs and not reimbursed by another source.

9. Rented or Leased Equipment (RH 2233)

An explanation and cost analysis is required when equipment is rented or leased. This analysis must demonstrate that it is more cost-effective to rent or lease the equipment than it is to purchase it, and must be approved by Cal EMA prior to the execution of a rental or lease agreement.

10. Indirect Costs/Administrative Overhead (*RH* 2220)

Indirect costs are those not readily itemized or assignable to a particular project, but necessary to the operation of the organization and the performance of the project. The costs of operating and maintaining facilities, accounting services, and administrative salaries are examples of indirect costs. Flat rates not exceeding ten percent (10%) of personnel salaries (excluding benefits and overtime) or five percent (5%) of total direct project costs (excluding equipment) may be budgeted by applicant for indirect costs if allowable by the funding source.

11. Audits (RH 8150)

Recipients expending between \$25,000 and \$499,999 in federal or state funds annually cannot use federal funds to reimburse for costs associated with audits. Recipients expending \$500,000 or more in federal grant funds annually are required to secure an audit pursuant to OMB Circular A-133 and are allowed to utilize federal grant funds to budget for the audit costs.

Specifically, the allowable audit costs are as follows:

- if the total project cost is less than or equal to \$150,000, the project may budget up to \$2,000 for the financial audit cost: or
- if the total project cost is greater than \$150,000, the project may budget up to one and a half percent (1.5%) of the total project cost for financial audit costs.

12. Equipment (*RH* 2300)

Equipment is defined as nonexpendable tangible personal property having a useful life of more than one year and an acquisition cost of \$5,000 or more per unit (including tax, installation and freight).

A line item is required for different types of equipment, but not for each specific piece of equipment (e.g., three laser jet printers should be one line item, not three).

a. Allowable Expenses

Equipment used solely for project activities may be budgeted if it is essential to the implementation of the project. Grant funds may not be used to reimburse the recipient for equipment already purchased.

Rented or leased equipment must be budgeted as an Operating Expense. Lease-to-purchase agreements are generally not allowable. If a lease-to-purchase is requested, the project will be required to submit justification, including cost-effectiveness, with the Grant Award Forms Package. Prior approval by Cal EMA is required.

b. Computers and Automated Equipment (*RH* 2340)

1) Community-Based Organizations (*RH* 2342.1)

Community-based organizations may budget up to \$25,000 in computer equipment, software, and related costs. Justification will be required if the proposal is selected for funding. Cal EMA will evaluate the proposed purchase on the basis of grant-related need. Prior approval by Cal EMA is required.

2) Units of Government (RH 2342.2)

Units of government may budget for computer equipment, software, and related costs. Justification will be required if the proposal is selected for funding. Cal EMA will evaluate the proposed purchase on the basis of grant-related need. Cal EMA must give approval prior to purchase. If federal grant funds totaling in excess of \$100,000 are used for automated data processing purchases, prior federal approval is also required.

3) Computer Purchase Justification (*RH* 2341)

Approval for purchases of computers and automated equipment is contingent on the applicant's ability to demonstrate cost-effective, project-related need which is best demonstrated by clearly relating each computer system or component to the grant objectives and activities. If selected for funding, the applicant will be sent instructions for preparing the justification.

c. Automobiles (*RH* 2331)

Automobiles are not allowable items unless permitted by the terms of the program. If an automobile is included in the budget, substantial justification demonstrating the grant-related need will be required before finalization of the Grant Award Agreement. The justification must describe the need for the automobile, including the size of service area, the need to provide direct service away from the office, and the reason why the agency will not allow personal automobile usage during work hours. A cost analysis for automobile purchase, as compared to other options including lease and personal automobile use and mileage, must be done and

kept on file for review by Cal EMA program staff during a site visit, monitoring visit, and/or audit.

13. Prohibited Expense Items (RH 2240)

a. Bonuses and Commissions (RH 2241)

Projects are prohibited from paying any bonus or commission to any individual, organization or firm unless specifically authorized by the terms of the program

b. Lobbying (*RH* 2242)

Refer to RH 2242.1 for an extensive list of prohibited activities.

c. Fundraising (RH 2243)

Cal EMA grant funds cannot be used for organized fundraising including financial campaigns, endowment drives, solicitation of gifts and bequests, or similar expenses incurred solely to raise capital or obtain contributions.

d. Real Property and Improvements (RH 2244)

Real property, including land, land improvements, structures and their attachments, and structural improvements and alterations are not allowable expenditures unless specifically authorized in the RFA instructions.

e. Interest (RH 2245)

The cost of interest payments is only allowable if the cost is a result of a lease/purchase agreement.

f. Charges, Fees, and Penalties (RH 2245)

Finance charges, late payment fees, penalties, and returned check charges are not allowable expenditures.

g. Food and Beverages (*RH* 2246)

The cost of food and/or beverages at grant-sponsored conferences, meetings or office functions is not an allowable expenditure.

h. Weapons and Ammunition (*RH* 2247)

The cost of weapons and/or ammunition of any type is not an allowable expenditure, unless it is part of a governmental negotiated benefit package or is specifically authorized in the RFA instructions.

i. Membership Dues (RH 2248)

The cost of membership dues for the licensing or credentialing of professional personnel is not an allowable expenditure unless it is part of a governmental negotiated benefit package or is specifically authorized in the RFA instructions.

j. Professional License (*RH* 2248)

The cost of a professional license is not an allowable expenditure unless specifically authorized in the RFA instructions.

k. Annual Professional Dues or Fees (RH 2248)

The cost of professional dues or fees is not an allowable expenditure unless it is part of a governmental negotiated benefit package or is specifically authorized by the RFA instructions.

I. Depreciation (RH 2249)

Equipment costs may not include additional costs calculated for depreciation.

RAPE CRISIS PROGRAM – 2010-11

APPLICATION FORMS

Click on one of the links below to access the corresponding form. Save the form to your hard drive before filling it out. To access the complete list of forms go to www.CalEMA.ca.gov, scroll over the "Justice Programs" tab, select "Grant Applications & Proposals (RFAs/RFPs)," then look under the "Related Links" section for "Forms". Or, paste the following link into your browser:

http://www.oes.ca.gov/WebPage/oeswebsite.nsf/OESBranchContentPortal?ReadForm&type=Forms&look=Grant%20Applications%20and%20Proposals%20(RFAs/RFPs)&Div=Law+Enforcement+and+Victim+Services+(LEVS)&Branch=Grant%20Applications%20and%20Proposals%20(RFAs/RFPs)Forms

Coversheet

Rape Crisis Program Checklist

Grant Award Face Sheet and Instructions (Cal EMA 2-101)

Project Contact Instructions and Information (Cal EMA 2-102)

Signature Authorization and Instructions (Cal EMA 2-103)

Certification of Assurance of Compliance – VOCA (Cal EMA 2-104ef OR

Certification of Assurance of Compliance – VAWA (Cal EMA 2-104g) OR

Certification of Assurance of Compliance – VAWA & VOCA (Cal EMA 2-104h)

Rape Crisis Program Budget Pages

Project Narrative:

- Objectives and Activities;
- Human Relations Training narrative;
- Diversity in Services and Operations chart; and
- Diversity in Operations/Services to Marginalized Populations narrative.

Project Service Area Information (Cal EMA 2-154)

Rape Crisis Project Summary

Operational Agreements Summary (Cal EMA 2-160)

Sample Operational Agreement (Cal EMA 2-161) (if applicable)

Out-Of-State Travel Request (Cal EMA 2-158) (if applicable)

Emergency Fund Procedures (Cal EMA 2-153) (if applicable)

Computer and Automated Systems Purchase Justification (Cal EMA 2-157) (if applicable)

RAPE CRISIS PROGRAM - 2010/11

GLOSSARY OF TERMS

TERM	DEFINITION
Activity	The specific steps or actions that a project takes to achieve a measurable objective.
Administrative Agency or Recipient	The agency or organization designated on the Grant Award Face Sheet (Cal EMA 2-101) is the programmatic Recipient of the grant funds and will accomplish the planned objectives and program goals. The Recipient was formerly referred to as the "Grantee."
Application	Once selected for funding, the original proposal plus any additional forms as required by Cal EMA becomes the application. This application, once signed by Cal EMA and the local government agency or organization authorized to accept grant funding, becomes the Grant Award/Grant Award Agreement (Cal EMA 2-101).
CFR	Code of Federal Regulations
Community-based Organization (CBO)	A nonprofit, public benefit corporation.
Competitive Bid	A contract process used when all suppliers are equally or nearly equally qualified to provide the services.
Equal Employment Opportunity Plan (EEOP)	A comprehensive plan that analyzes the agency's workforce and all agency employment practices to determine their impact on the basis of ethnicity and gender. The objective of the EEOP is to ensure nondiscrimination in all areas of employment (recruitment, hiring, promotions, etc), and in the delivery of services and benefits.
Equal Employment Opportunity (EEO) Checklists	An EEO Checklist is a document used by program staff while conducting site/monitoring visits. The checklists (A and B) were prepared to assist Cal EMA in verifying that recipients are in compliance with State and Federal Civil Rights Laws.
Grant Award Agreement	The signed final agreement between Cal EMA and the local government agency or organization authorized to accept grant funding. (See Application).
Grant Funding Cycle	The number of years a program may be funded without competition. A funding cycle is typically three years.
Grant Funding Period	The period of time, determined by the Request for Proposal (RFA) or the Request for Application (RFA), which the project narrative, objectives, activities, and budget cover. The time period is usually one year, and is shown on the Grant Award Face Sheet (Cal EMA 2-101).
Implementing Agency	The agency or organization designated on the Grant Award Face Sheet that is responsible for the day-to-day operation of the project (e.g., probation department, district attorney, sheriff).
Monitoring Report Response	Form sent to the Recipient with the Monitoring report. The form is

Form	completed by the Recipient and returned to the Cal EMA Local Assistance Monitoring Branch (LAMB), indicating the Monitoring Report is accurate or inaccurate as of the date of the Monitoring.
Noncompetitive Bid (NB)	A contract for goods or services, where only a single source that can provide the services or goods is afforded the opportunity to offer a price for the specified services or goods. (Contracts sometimes include goods as well as services, and this definition will also apply to those circumstances).
Nonprofit Organization (aka Community-Based Organization)	A nonprofit, public benefit corporation as defined in the federal regulation of 28 C.F.R. Part 38, Department of Justice. This modifies the need to be recognized by the Internal Revenue Service as a 501(c)(3) for recipients of faith-based organizations. All organizations may qualify for nonprofit status using any one of the four following methods:
	(1) Proof that the Internal Revenue Service recognizes the applicant has the status of a 501(c)(3).
	(2) A statement from a State taxing body or the State Secretary of State certifying that (i) the Organization is a nonprofit organization operating within the State; and (ii) No part of its net earnings may lawfully benefit any private shareholder or individual:
	(3) A certified copy of the applicant's certificate of incorporation or similar document that clearly establishes the nonprofit status of the applicant; or
	(4) Any item described in (1) through (3) if that item applies to a State or national parent organization, together with a statement by the State or parent organization that the applicant is a local nonprofit affiliate.
Objectives	A set of quantifiable projections to be carried out in order to accomplish the program goals.
On Site	Refers to the location of operation of the grant award recipient. If multiple sites exist, the site that provides the project recipients with program direction qualifies as the "on site location."
Operational Agreement (OA)	A formal agreement between two or more agencies, which specifies the responsibilities of each agency in implementing the project. The term Operational Agreement also includes documents entitled Memorandum of Understanding, Letters of Intent, or other titles that serve the same purpose.
Participating Agency	An organization that receives grant funds through an Operational Agreement to participate in achieving the goals of a project. The participating agency must be a unit of government or a community-based organization.
Participating Staff	A salaried employee of a Participating Agency.
Program	A specific set of goals and objectives established pursuant to legislative, congressional, or administrative action identifying an unmet need of the criminal justice system or victim services and supported by a set appropriation from state or federal funding sources.

Project	The implementation of a Program by a Recipient. The project includes all of the grants implemented by the Recipient under that Program regardless of the year of implementation.
Proposal	The packet of forms and narrative as requested by the RFA and submitted to Cal EMA that specified the priorities, strategies, and objectives of the applicant.
Recipient Handbook	This handbook outlines the terms and conditions required of grant projects. Funded projects must administer their grants in accordance with these administrative and fiscal conditions. The <i>Recipient Handbook</i> is accessible at www.CalEMA.ca.gov . Look on the right side of the Cal EMA homepage under 'Quick links' for the Criminal Justice Programs Recipient Handbook or scroll over the "Grant Programs" tab, select "Grant Applications & Proposals (RFAs/RFPs)," and then look under the "Related Links" section for " <i>Recipient Handbooks</i> ". The <i>Recipient Handbook</i> was previously called the " <i>Grantee Handbook</i> ."
Request for Application (RFA)	The RFA is a noncompetitive process issued by Cal EMA to obtain applications from applicants previously selected for funding.
Request for Proposal (RFP)	The RFP is issued by Cal EMA to solicit competitive proposals in order to select projects for funding.
Single Source	This term has been replaced by the term "noncompetitive bid."
Sole Source	This term has been replaced by the term "noncompetitive bid."
Source Documentation	Records that validate project activities and achievements as they pertain to the objectives outlined in the Grant Award Agreement.
Supplanting	To reduce federal, state, or local funds because of the existence of Cal EMA funds. Supplanting occurs when a Recipient deliberately replaces its non-Cal EMA funds with Cal EMA funds, thereby reducing the total amount available for the stated purpose.
Terms of the Program	The applicable Program Guidelines, application requests [Request for Proposal (RFA)/Request for Application (RFA)], grant award agreement, Cal EMA policy statements, and applicable statutes. In the event the terms of the program are inconsistent with the provisions of this handbook, the terms of the program shall be interpreted and construed as superseding the provisions of this handbook.
USC	United States Code

Project Title: Various Funding Source: Various

Gra	nt F	Perio	od:	9/1/010 - 8/31/11									
			ENT UMBER	RECIPIENT	TOTAL 1ST FUND		TOTAL 2ND FUND		TOTAL 3RD FUND	TOTAL 4TH FUND	TOTAL 5TH FUND	TOTAL 6TH FUND	
				(Exactly as #1 on grant award face sheet)	VOCA 2008	2008 VOCA MATCH	VOCA 2010	2010 VOCA MATCH	VAWA 2008	VAWA 2010	SASP 2010	Penalty Assessment- RCP & (RCP-GF (\$45,000) - Alameda Only)	TOTAL PROJECT COST
Tota	als	per f	und ava	ilable for allocation this year	236,779		9,369,208		67,630	1,545,478	436,750	3,715,000	
RC	10	26	0010	Alameda County Medical Center			162,960	40,740				65,227	\$313,927
		22		Alliance Against Family Violence & Sexual Assault			162,784	40,696				50,828	\$254,308
RC	10	03	1195	Antelope Valley Domestic Violence Council dba Valley Oasis			134,622	33,656				41,853	\$210,131
RC	10	30	1007	Bay Area Women Against Rape			191,784	47,946				60,071	\$299,801
RC	10	30	1031	Casa de Esperanza, Inc.			113,175	28,294				35,016	\$176,485
RC	10	22	1326	Center Against Sexual Assault of Southwest Riverside County			159,118	39,780				49,660	\$248,558
RC	10	13	1023	Center for Community Solutions (East)						117,629		36,894	\$154,523
RC	10	30	1023	Center for Community Solutions (Main)						170,463		53,466	\$223,929
RC	10	09	1023	Center for Community Solutions (N.E.)						136,550		42,467	\$179,017
RC	10	30	1001	Center for the Pacific Asian Family			82,194	20,549				25,142	\$127,885
RC	10	15	1508	Center for a Non Violent Community			116,108	29,027				35,951	\$181,086
RC	10	26	1118	Center for Violence-Free Relationships			119,143	29,786				36,919	\$185,848
RC	10	19	1481	Coalition to End Family Violence			176,895	44,224				55,326	\$276,445
RC	10	24	1245	Community Action Partnership of Madera County			107,269	26,817				33,134	\$167,220
RC	10	16	1039	Community Service Programs, Inc. (South)			139,843	34,961				43,517	\$218,321
RC	10	30	1039	Community Service Programs Inc. (North)			205,740	51,435				64,519	\$321,694

Project Title: Various Funding Source: Various

Gran	nt F	Perio	od:	9/1/010 - 8/31/11									
			ENT UMBER	RECIPIENT	TOTAL 1ST FUND		TOTAL 2ND FUND		TOTAL 3RD FUND	TOTAL 4TH FUND	TOTAL 5TH FUND	TOTAL 6TH FUND	
				(Exactly as #1 on grant award face sheet)	VOCA 2008	2008 VOCA MATCH	VOCA 2010	2010 VOCA MATCH	VAWA 2008	VAWA 2010	SASP 2010	Penalty Assessment- RCP & (RCP-GF (\$45,000) - Alameda Only)	TOTAL PROJECT COST
RC ²	10	13	1043	Community Solutions for Children Families and Individuals (San Benito)			82,194	20,549				25,142	\$127,885
RC ²	10	24	1043	Community Solutions for Children Families and Individuals (Santa Clara)			96,140	24,035				29,587	\$149,762
RC ²	10	18	1108	Community Violence Solutions (East)						109,223		33,757	\$142,980
RC ²	10	23	1108	Community Violence Solutions (Marin)						137,913		42,902	\$180,815
RC ²	10	30	1108	Community Violence Solutions (West)						188,393		58,991	\$247,384
RC ²	10	22	1329	Domestic Violence and Sexual Assault Coalition			137,606	34,402				42,803	\$214,811
RC ²	10	30	1022	East Los Angeles Women's Center			197,595	49,399				61,923	\$308,917
RC ²	10	19	1248	Family Services of Tulare County			146,084	36,521				45,506	\$228,111
RC ²	10	28	1264	Haven Women's Center of Stanislaus			159,118	39,780				49,660	\$248,558
RC ²	10	20	1193	Human Response Network			93,966	23,492				28,894	\$146,352
RC ²	10	15	1580	Kings Community Action Organization, Inc.			71,095	17,774				36,242	\$125,111
RC ²	10	13	1641	Lake Family Resource Center			71,095	17,774				36,242	\$125,111
RC ²	10	17	1209	Lassen Family Services, Inc.			95,394	23,849				29,349	\$148,592
RC ²	10	30	1013	Monterey Rape Crisis Center (North)			144,395	36,099				44,967	\$225,461
RC ²	10	04	1013	Monterey Rape Crisis Center (South)			207,977	51,994				65,232	\$325,203
RC ²	10	03	1777	Mountain Crisis Services			150,791	37,698				47,006	\$235,495
RC ²	10	1	1177	Napa Emergency Women's Services (NEWS)			144,817	36,204				45,102	\$226,123
RC ²	10	22	1009	North Coast Rape Crisis Team (Del Norte)			107,270	26,818				33,134	\$167,222

Project Title: Various
Funding Source: Various

Grant Period:	9/1/010 - 8/31/11									
RECIPIENT AWARD NUMBER	RECIPIENT	TOTAL 1ST FUND		TOTAL 2ND FUND		TOTAL 3RD FUND	TOTAL 4TH FUND	TOTAL 5TH FUND	TOTAL 6TH FUND	
	(Exactly as #1 on grant award face sheet)	VOCA 2008	2008 VOCA MATCH	VOCA 2010	2010 VOCA MATCH	VAWA 2008	VAWA 2010	SASP 2010	Penalty Assessment- RCP & (RCP-GF (\$45,000) - Alameda Only)	TOTAL PROJECT COST
RC 10 30 1009	North Coast Rape Crisis Team (Humboldt)			174,740	43,685				54,639	\$273,064
RC 10 30 1011	North County Rape Crisis & Child Protection Center			137,632	34,408				43,168	\$215,208
RC 10 13 1628	Operation Care			113,175	28,294				35,016	\$176,485
RC 10 30 1004	Peace Over Violence (Main)						213,415		66,965	\$280,380
RC 10 15 1004	Peace Over Violence (San Gabriel Valley)						188,396		58,991	\$247,387
RC 10 21 1042	Placer Women's Center dba Peace for Families			111,683	27,921				34,541	\$174,145
RC 10 14 1629	Plumas Crisis Intervention & Resources Center (Plumas)			82,195	20,549				25,142	\$127,886
RC 10 11 1629	Plumas Crisis Intervention & Resources Center (Sierra)			82,195	20,549				25,142	\$127,886
RC 10 21 1030	Project Sanctuary, Inc. (Coast)			95,394	23,849				29,349	\$148,592
RC 10 30 1030	Project Sanctuary, Inc. (Inland)			114,667	28,667				35,492	\$178,826
RC 10 22 1325	Project Sister Family Services			196,093	49,023				61,445	\$306,561
RC 10 30 1085	Rape Crisis Intervention (Butte/Glenn)			148,735	37,184				46,350	\$232,269
RC 10 21 1085	Rape Crisis Intervention (Tehama)			119,144	29,786				36,919	\$185,849
RC 10 16 1552	Rape Trauma Services: Center for Healing & Violence Prevention			178,326	44,582				55,782	\$278,690
	Regents of the University of California - UCLA Medical Center - Rape			040.04=	50.007				00.017	# 000 65 1
RC 10 26 1141	Treatment Center Sexual Assault and Family Violence			212,947	53,237				66,817	\$333,001
RC 10 13 1019	(West) Sexual Assault and Family Violence	70,871	17,718	44,845	11,211				35,826	\$180,471
RC 10 30 1019	(Metro)	83,674	20,919	134,870	33,718				68,600	\$341,781

Project Title: Various Funding Source: Various

Gran	it P	erio	d:	9/1/010 - 8/31/11									
			ENT UMBER	RECIPIENT	TOTAL 1ST FUND		TOTAL 2ND FUND		TOTAL 3RD FUND	TOTAL 4TH FUND	TOTAL 5TH FUND	TOTAL 6TH FUND	
				(Exactly as #1 on grant award face sheet)	VOCA 2008	2008 VOCA MATCH	VOCA 2010	2010 VOCA MATCH	VAWA 2008	VAWA 2010	SASP 2010	Penalty Assessment- RCP & (RCP-GF (\$45,000) - Alameda Only)	TOTAL PROJECT COST
RC 1	0 2	22	1175	The Resource Connection, Inc.			119,194	29,799				45,640	\$194,633
RC 1	0 3	30	1021	Riverside Area Rape Crisis Center			166,516	41,629				52,018	\$260,163
RC 1	0 2	21	1438	SafeQuest Solano, Inc.			145,750	36,438				45,399	\$227,587
RC 1	0 3	30	1025	San Bernardino Sexual Assault Services (Main)	42,098	10,525					170,834	66,837	\$290,294
RC 1	0 0	08	1025	San Bernardino Sexual Assault Services (Riverside)	23,306	5,827					83,918	36,416	\$149,467
RC 1	0 /	48	1025	San Bernardino Sexual Assault Services (East)	16,830	4,208					120,708	46,079	\$187,825
RC 1	0 3	30	1032	San Francisco Women Against Rape			151,720	37,930				47,302	\$236,952
RC 1	0 3	30	1040	Santa Barbara Rape Crisis Center			157,625	39,406				49,184	\$246,215
RC 1	0 3	30	1034	Sexual Assault & Domestic Violence Center			114,686	28,672				35,499	\$178,857
RC 1	0 3	30	1037	Prevention Center of San Luis Obispo County			125,715	31,429				39,014	\$196,158
RC 1	0 2	27	1053	Shasta Women's Refuge, Inc.			152,908	38,227				47,680	\$238,815
RC 1	0 1	16	1255	Siskiyou Domestic Violence & Crisis Center			93,219	23,305				28,656	\$145,180
RC 1	0 1	15	1579	Sure Helpline Center			98,965	24,741				23,894	\$147,600
RC 1	0 2	21	1561	Tahoe Women's Services			100,618	25,155				31,015	\$156,788
RC 1	0 1	18	1450	Community Help, Inc. (T.E.A.C.H., Inc.)			82,196	20,549				25,143	\$127,888
RC 1	0 3	30	1018	Tri-Valley Haven for Women			144,259	36,065				44,924	\$225,248
RC 1	0 3	30	1002	United Against Sexual Assault of Sonoma County			168,008	42,002				52,493	\$262,503
RC 1	0 1	13	1253	University Corporation (NWLA)					27,630	85,880		35,123	\$148,633

Project Title: Various
Funding Source: Various

Grant	Peri	od:	9/1/010 - 8/31/11									
		PIENT NUMBER	RECIPIENT	TOTAL 1ST FUND		TOTAL 2ND FUND		TOTAL 3RD FUND	TOTAL 4TH FUND	TOTAL 5TH FUND	TOTAL 6TH FUND	
			(Exactly as #1 on grant award face sheet)	VOCA 2008	2008 VOCA MATCH	VOCA 2010	2010 VOCA MATCH	VAWA 2008	VAWA 2010	SASP 2010	Penalty Assessment- RCP & (RCP-GF (\$45,000) - Alameda Only)	TOTAL PROJECT COST
RC 1	24	1253	University Corporation (Valley Trauma)				-	40,000	174,142		67,197	\$281,339
RC 10	23	1196	WEAVE, Inc.			128,186	32,047		23,474	61,290	66,817	\$311,814
RC 10	26	1057	Wild Iris Women's Services (Inyo)			94,396	23,599				29,031	\$147,026
RC 10	22	1057	Wild Iris Women's Services (Mono)			94,396	23,599				29,031	\$147,026
RC 10	30	1101	Women's Center of San Joaquin County			220,330	55,083				59,436	\$334,849
RC 10	13	1207	Women's Center - High Desert			83,240	20,810				25,475	\$129,525
RC 10	29	1044	Women's Crisis Support - Defensa de Mujeres			133,038	33,260				41,347	\$207,645
RC 10	30	1028	Women's Resource Center			99,873	24,968				30,777	\$155,618
RC 1	11	1055	Womenspace Unlimited, Inc., (Alpine)			82,196	20,549				25,143	\$127,888
RC 1	30	1055	Womenspace Unlimited, Inc., (El Dorado Co.)			119,829	29,957				37,137	\$186,923
RC 10	26	1123	YWCA Greater Los Angeles			169,435	42,359				52,949	\$264,743
RC 1) A2	1123	YWCA/Greater LA - South Bay			151,521	37,880				47,239	\$236,640
RC 10	B2	1123	YWCA/Greater LA - South LA			126,218	31,555				39,174	\$196,947
RC 10	30	1024	YWCA of Silicon Valley (Central)			207,438	51,860				65,061	\$324,359
RC 10	8 (0	1024	YWCA of Silicon Valley (North)			113,920	28,480				35,257	\$177,657
			TOTAL FUNDING	236,779	59,197	9,369,208	2,342,303	67,630	1,545,478	436,750	3,715,000	\$17,772,357

SERVICE STANDARDS FOR THE OPERATIONS OF RAPE CRISIS CENTERS (4/1/09)

These service components are central to the operations of rape crisis centers and to the rights and needs of rape/sexual assault victims. Although each service is represented as a distinct set of activities, there is considerable overlap and inter-dependency between services. In the following discussion, a service standard that is the **minimum level** of adequate service is established.

With the exception of compliance to mandatory reporting laws as they pertain to child abuse, dependent adult and elder abuse, or cases involving the potential of great bodily harm to oneself or others, centers must guarantee confidentiality to all clients. The sole source of information regarding clients must be the client her/himself. Centers should have the client sign a waiver in the event the client wishes to allow their case to be discussed with any individual not employed by the center.

- A. <u>SERVICES</u> The goal of intervention is to reduce the level of trauma experienced as a result of a sexual assault by assisting victims in strengthening their coping skills through empathetic response and support during all initial and subsequent contacts.
 - 1. <u>Crisis Intervention Services</u> The provision of initial services to sexual assault victims.

Service Standard

- a. Centers must maintain a 24-hour, seven day-a-week telephone line using the services of an answering service and/or a call forwarding system. The purpose of this service is to provide crisis intervention services for victims of sexual assault, family or friends, and to receive referrals from law enforcement, hospitals, and community agencies. The crisis line must be staffed at all times by staff or volunteers who are trained to provide crisis intervention and information and referral to victims of sexual assault.
- b. A trained staff member or volunteer must be immediately accessible to callers on a 24-hour basis. Immediately accessible means a waiting period not to exceed 10 minutes. Availability of an answering service to take messages is not considered full coverage and does not meet the minimum criteria.
- c. A trained staff member or volunteer is defined as an individual who has successfully completed a minimum of 40 hours of training pursuant to Evidence Code Section 1035.2 (Appendix 1), and participates in continuing education programs.
 - 1) Centers must provide a minimum of 40 hours of training to staff, volunteers and supervisors in order to meet the statutory requirements for client confidentiality. Centers must issue a Certificate of Completion of Sexual Assault Counselor Training to qualified sexual assault counselors and maintain a copy of the Certificate in the counselor's personnel file with course agenda attached.
 - 2) The training curriculum must include, but is not limited to, the following subject areas: Rape Trauma Syndrome; child sexual abuse; crisis intervention principles and techniques; medical, law enforcement, criminal justice/legal procedures; mandated reporting laws; confidentiality; referral methods and resources; historical, psychological, and socio-cultural aspects of sexual assault; and the provision of services directed toward groups that have experienced discrimination. All subject areas are to be specific to sexual assault victims.
 - 3) A training manual must be developed and provided to each staff member and volunteer staffing the crisis line. The manual must cover the training curriculum listed above and must include policies and procedures for volunteers. (See Section G. 2.)
 - 4) Sexual assault counselors must participate in 12 hours of continuing education each year. No more than 4 hours may be self-study (e.g. reading assignment, approved educational video). The remaining 8 hours must be participatory (e.g. trainings conducted by the RCC, case management,

workshops focusing on sexual assault issues, teleconference trainings, educational conference calls) and focus on sexual assault and/or issues that arise during provision of sexual assault services. Sexual assault counselor's personnel files must include documentation to support attendance at 12 hours of continuing education training including, at a minimum, training dates, time, topic and location. Conference agendas and supporting documentation should be included when available.

- d. Adequate supervision of volunteers is essential in order to ensure consistent quality of services. The staff of the center must supervise volunteers. This must include a system that requires contact between staff and volunteers within the time frame outlined in the volunteer policies of the individual agency. The purpose of the contact is to report having received a crisis call and to discuss actions taken or methods of proceeding.
- e. Staff and volunteers handle initial client contacts, provide immediate crisis intervention, follow-up contact, and in-person counseling in order to ensure consistent services. If the center does not provide long-term counseling services, the case must be referred to a qualified professional with expertise in sexual assault counseling depending on the needs of the victim. (B.1. and B.4.)
- f. At a minimum, centers must serve the same number of sexual assault victims as there are cases of forcible rape (Penal Code [P.C.] Section 261) reported to law enforcement agencies within the center's service area during the grant year. Sexual assault includes, but is not limited to the following violations listed in the California P.C.: rape; unlawful intercourse; gang rape; rape by foreign object; spousal rape; attempted rape; assault with intent to rape; sexual battery; oral copulation; sodomy; incest; annoying or molesting a child; lewd or lascivious conduct with a child; and unlawful sexual intercourse, oral copulation or sodomy when consent is procured by fear or fraudulent representation with intent to create fear.
- g. Business Hours -- P.C. Section 13837 requires centers to maintain normal business hours.
- 2. <u>Follow-up Counseling Services</u> Client contact made either by telephone or in-person immediately after the initial crisis and/or subsequently thereafter in order to meet the immediate and ongoing needs of the client, ensure the continuity of services, and/or to offer and arrange a referral related to the client's needs.

Service Standard

- a. Centers must offer to contact all clients within 3 working days after providing crisis intervention services and shall do so when appropriate and/or according to the needs of the client.
- b. A minimum of 45 % of clients receiving crisis intervention services must receive follow-up counseling services. An attempt to provide this service for each client is required.
- c. Centers must establish intervals during which staff or volunteers will attempt to contact all clients after the initial provision of crisis intervention and initial follow-up contact.
- d. Follow-up counseling also means that centers must perform periodic assessments of each client's needs. The frequency of such assessment must be based upon the severity and characteristics of each individual case. Counseling intervention plans must be modified according to the assessment results.
- **Short-term In-person Counseling Services** The provision of one-to-one, face- to-face counseling services for up to 10 weeks from the first counseling session.

Service Standard

- a. In addition to the provision of telephone counseling, centers must provide one-to-one, face-to-face, short-term counseling services. The service provider must be a trained staff member or volunteer who has received a minimum level of training and supervision. (A.1.c. and A.1.d.)
- All sexual assault victims who contact the center's crisis telephone, business office telephone, or business office must be offered in-person counseling services when indicated by their needs.

 Long-term In-person Counseling Services - The provision of one-to-one, face-to-face, counseling services provided for longer than 10 weeks by non-licensed, peer counsel counselors, licensed therapists, interns or trainees.

Service Standard

- a. Centers must make long-term counseling services available. This means to provide the service or to make arrangements through other agencies or individuals.
- b. Centers that offer long-term counseling services must provide counselors who have a minimum of two years of supervised counseling experience, at least one of which is in rape crisis counseling, or have 40 hours of training and be supervised by a person with the experience described above; or, be a licensed therapist, intern or trainee with expertise in sexual assault counseling.
- A written consent for treatment should be signed by clients prior to provision of long-term counseling services.
- d. Referral resources for long-term counseling services must be selected by center staff. The screening and selection process must include personal interviews. Letters of agreement must be developed between the center and these resources that clearly delineate expectations and services of the parties involved.
- e. When clients are referred out of the agency for long-term counseling, a minimum of 3 choices must be provided. Of these, only one can be staff, an active board member, or a volunteer of the center.
- **5. Long-term Therapy Services** The provision of or referral to services provided by licensed therapists or supervised clinical interns with expertise in sexual assault counseling.

Service Standard

- a. Centers must make long-term therapy services available. This means to provide the service or to make arrangements through other agencies or individuals.
- b. A written consent for treatment should be signed by clients prior to the provision of long-term therapy.
- c. Referral resources for long-term therapy services must be selected by center staff. The screening and selection process must include personal interviews of the referral resources. Letters of agreement must be developed between the center and these resources that clearly delineate the expectations and services of the parties involved.
- d. When clients are referred to private therapists, a minimum of 3 choices must be provided. Of these, only one can be staff, an active board member, or a volunteer of the center
- 6. Support Groups Facilitated group of sexual assault survivors to offer information and mutual support.

Service Standard

- a. Centers must provide for the availability of support groups. This means to provide the service or to make arrangements for the provision of these groups through other agencies or individuals.
- b. If the service is provided by the center, the facilitator must be a trained staff member or volunteer who has received the minimum 40-hour training, or is a licensed therapist with expertise in sexual assault treatment, and who receives a minimum level of supervision, and has training and experience in facilitating a support group.

- c. Referral resources for the provision of support groups must be selected by staff of the center. The screening and selection process must include personal interviews of the referral resource. Formal letters of agreement must be developed between the center and these resources which clearly delineate the expectations and services of the parties involved, including training, and must be signed by representatives of both parties. Centers must evaluate the quality of services provided by referral resources on an annual basis.
- Accompaniment Services Escorting or meeting face-to-face with sexual assault clients at appropriate places of their choice.

Service Standard

- Centers must provide for the availability of accompaniment services. This means to provide the service
 or to make arrangements through other agencies or organizations to provide accompaniment. These
 services must be available on a 24-hour basis.
- Accompaniment services must be provided for sexual assault victims to hospitals, law enforcement agencies, district attorney's offices, court proceedings, and other agencies or locations according to the needs of the client.
- c. Staff or volunteers providing this service must have completed the 40-hour training program and must participate in continuing education programs.
- d. Centers that refer to other agencies for provision of accompaniment services must develop a formal letter of agreement with those agencies. The letter must clearly delineate the expectations of each party, including training, and be signed by representatives of both agencies. Centers must evaluate the quality of services provided by referral resources on an annual basis.
- Clients receiving crisis intervention services must be offered accompaniment services when indicated by the needs of that client.
- **8.** Advocacy Services The process by which rape crisis counselors intervene with other agencies or individuals on behalf of sexual assault victims to achieve a particular goal or result desired by that victim.

Service Standard

- a. Centers must provide for the availability of advocacy services. This means to provide the service or to make arrangements through other agencies or organizations to provide advocacy. These services must be available on a 24-hour basis.
- b. Advocacy services must be provided for sexual assault victims with hospitals, law enforcement agencies, district attorney's offices, court proceedings, and other agencies or locations according to the needs of the client.
- c. Staff or volunteers providing this service must have completed the 40-hour training program and must participate in continuing education programs.
- d. Centers that refer to other agencies for the provision of advocacy services must develop a formal letter of agreement with those agencies. The letter must clearly delineate the expectations of each party, including training, and be signed by representatives of both agencies. Centers must evaluate the quality of services provided by referral resources on an annual basis.
- Clients receiving crisis intervention services must be offered advocacy services when indicated by the needs of that client.

9. <u>Information and Referral Services</u> - The provision of sexual assault-related information and/or referrals regarding community resources.

Service Standard

- a. Centers must respond to requests for information from the general public, as well as from persons receiving services, and must provide referrals to community resources.
- b. A referral resources list must be developed, maintained, and regularly updated which includes the following: law enforcement agencies, district and city attorney's offices, medical care providers, mental health treatment facilities, county departments of social services, child protective services, rape crisis centers, domestic violence centers, child sexual abuse treatment programs, victim/witness assistance centers, and a list of licensed therapists with knowledge and expertise in the area of sexual assault.
- c. Referral resources for treatment must be selected by staff of the center. The screening and selection process must include personal or telephone interviews of the referral resources. When appropriate, letters of agreement should be developed between the centers and these resources which clearly delineate the expectations and services of the parties involved, including training, and should be signed by representatives of both agencies.

10. Community Education Programs

Service Standard

- a. Centers must provide community outreach and education programs.
- b. Community outreach includes, but is not limited to, efforts made through public speaking engagements, and use of the real time educational instruction to inform the community about the services and operations of the center, and/or information regarding sexual assault.
- c. A community education program means a program, workshop, seminar or real time educational instruction (e.g., in-person via teleconferencing or web conferencing) in which information is provided regarding sexual assault.
- d. Community education programs must be presented by certified sexual assault counselors, as described in Section A.1.c and P.C. Section 1035.2.
- **B.** <u>AGENCY COORDINATION</u> Centers must establish themselves as active participants in local public and private service networks in order to provide for timely and comprehensive responses to sexual assault victim's needs.

Service Standard

- Centers must develop and maintain cross-referral arrangements between local hospitals, law enforcement
 agencies, district attorney's office, victim/witness assistance centers, social service providers and other
 community agencies, such as treatment providers. This must be documented by a formal letter of
 agreement regarding cross-referrals, clearly delineating the expectations of each party, including training,
 and signed by representatives of both agencies.
- 2. The center must regularly provide training and receive training from the above-described agencies.
- Centers must directly assist, or must refer clients to victim/witness assistance centers or private attorneys
 for assistance in the preparation of victim compensation claims for submission to the Victim Compensation
 and Government Claims Board.

- 4. Centers must participate in regularly-scheduled (quarterly, at a minimum) multi-disciplinary meetings to develop or enhance multi-disciplinary sexual assault response team (SART) efforts in their local service area. The purpose of these meetings is to implement a comprehensive, sensitive, coordinated system of intervention and care for sexual assault victims. The primary partners of the SART team should include, but are not limited to: rape crisis centers, local law enforcement, District Attorney's office, crime laboratory, sexual assault forensic medical examination team(s), county victim/witness assistance center, and representatives of local medical site(s) at which forensic sexual assault examinations are conducted.
- C. <u>HUMAN RELATIONS TRAINING</u> Centers must provide training to all staff and volunteers on a yearly basis on providing services directed toward groups that have experienced discrimination and prejudice. This is in addition to the 40-hour training listed in Section A.1.c.

Service Standard

- 1. This training must focus on educating staff and volunteers regarding groups that have experienced prejudice and discrimination on the basis of race, sex, age, class, religious belief, disability, or sexual orientation and the effect of these practices on service delivery for victims of sexual assault. This training must emphasize the correlation between oppression of any group in our culture and the dynamics of sexual assault.
- 2. Training must be provided by persons who have expertise in the subject area.

D. <u>INCLUSION OF MINORITY GROUPS IN SERVICES AND OPERATIONS</u>

Service Standard

Centers must include members of minority groups in their services and operations. This means:

- 1. Recruitment that is directed to all minority groups in the service area.
- 2. Centers must make every attempt to provide services to members of minority groups that are proportionate to the demographic characteristics of the service area. These services must be culturally appropriate.
- 3. The composition of the agency's staff, and of their volunteers, must be made up of individuals who proportionately represent the ethnic/racial demographic characteristics of the service area. If this is not possible, documentation must be maintained which reflects the good faith efforts of the agency to achieve this goal. "Good faith efforts" should include, but are not be limited to, the following:
 - a. Evidence of a plan adopted by the governing board to meet the recruitment criteria;
 - b. Evidence of recruitment efforts in ethnic newspapers and newspapers of general circulation;
 - c. Evidence or documentation of outreach to local leaders and community groups;
 - d. Outreach to business and professional organizations; and
 - e. Outreach to local colleges and universities.
- 4. Centers must provide culturally and ethnically relevant materials for outreach and community education efforts
- 5. Centers must provide education programs in the predominant language(s) of the community.

E. ADMINISTRATION

Paid Staff

Service Standard

a. The minimum number of initial training hours that must be provided for paid staff is 40 hours.

- b. The training curriculum must include, but is not limited to, the following subject areas: Rape Trauma Syndrome; child sexual abuse; crisis intervention principles and techniques; medical, law enforcement, and criminal justice/legal procedures; mandated reporting laws; confidentiality; referral methods and resources; historical, psychological, and socio-cultural aspects of sexual assault; training on providing services directed toward groups that have experienced discrimination; and training pertinent to specific staff assignments. The above subject areas are to be specific to sexual assault victims.
- c. In addition to the initial 40-hour training program, staff must be offered ongoing, culturally appropriate training to keep them abreast of current developments and trends in the field of sexual assault.
- d. Each new staff person must receive an orientation to the agency that must include, but not be limited to, a job description, personnel policies, and training requirements.

2. Volunteer Staff

Service Standard

Centers must maintain up-to-date volunteer policies that include, at a minimum, policies for: leave; attendance at continuing education programs; time commitments; confidentiality; travel expense reimbursement; and regular volunteer evaluations. Acknowledgment of receipt and understanding of these policies must be documented by the volunteer's signature.

3. Volunteer Board of Directors

Service Standard

- a. The board must be made up of individuals who proportionately represent the ethnic/racial demographic characteristics of the service area. If this is not possible, documentation must be maintained that reflects the good faith efforts of the agency to achieve this goal. "Good faith efforts" should include, but are not be limited to, the following:
 - 1) Evidence of a plan adopted by the governing board to meet the recruitment criteria;
 - 2) Evidence of recruitment efforts in ethnic newspapers and newspapers of general circulation;
 - 3) Evidence or documentation of outreach to local leaders and community groups;
 - 4) Outreach to business and professional organizations; and
 - 5) Outreach to local colleges and universities.
- b. A formal selection process must be established for board members.
- c. Directors must be provided with training to include such topics as: history and goals of the organization; information about funding sources; general expectations; board member responsibilities including fundraising; copies of bylaws, articles of incorporation, and personnel policies.

F. INTERNAL MANAGEMENT

Service Standard

Centers must develop adequate policies and procedures to protect the agency from legal liability.

- 1. Centers must maintain and follow up-to-date bylaws.
- Centers must maintain up-to-date personnel policies designed to protect the rights of staff and management.
 These policies must, at a minimum, include grievance procedures for both staff and executive directors; leave
 policies; work hour and benefit policies; regular staff evaluations, policies for setting salaries and salary
 increases and policies for nondiscrimination.

- 3. Centers must maintain functional time sheets and personnel files. Functional time sheets mean records that reflect the actual hours spent on each project according to funding source.
- 4. Centers must maintain records to substantiate the number of clients served, the number of educational programs provided and the number of attendees.
- 5. Centers must have regularly scheduled staff meetings to discuss agency business, which includes but is not limited to information about funding sources and national, statewide, and local coalitions for rape crisis centers.
- 6. Centers must conform to Generally Accepted Accounting Principles (GAAP).

CALIFORNIA CODES ATTACHMENT C

CALIFORNIA EVIDENCE CODE

Evidence Code Section 1035.2 – "Sexual Assault Victim Counselor" Defined As used in this article, "sexual assault victim counselor" means any of the following:

- (a) A person who is engaged in any office, hospital, institution, or center commonly known as a rape crisis center, whose primary purpose is the rendering of advice or assistance to victims of sexual assault and who has received a certificate evidencing completion of a training program in the counseling of sexual assault victims issued by a counseling center that meets the criteria for the award of a grant established pursuant to Section 13837 of the Penal Code and who meets one of the following requirements:
 - (1) Is a psychotherapist as defined in Section 1010; has a master's degree in counseling or a related field; or has one year of counseling experience, at least six months of which is in rape crisis counseling.
 - (2) Has 40 hours of training as described below and is supervised by an individual who qualifies as a counselor under paragraph (1). The training, supervised by a person qualified under paragraph (1), shall include, but not be limited to, the following areas: law, medicine, societal attitudes, crisis intervention and counseling techniques, role playing, referral services, and sexuality.
- (b) A person who is employed by any organization providing the programs specified in Section 13835.2 of the Penal Code, whether financially compensated or not, for the purpose of counseling and assisting sexual assault victims, and who meets one of the following requirements:
 - (1) Is a psychotherapist as defined in Section 1010; has a master's degree in counseling or a related field; or has one year of counseling experience, at least six months of which is in rape assault counseling.
 - Has the minimum training for sexual assault counseling required by guidelines established by the employing agency pursuant to subdivision (c) of Section 13835.10 of the Penal Code, and is supervised by an individual who qualifies as a counselor under paragraph (1). The training, supervised by a person qualified under paragraph (1), shall include, but not be limited to, the following areas: law, victimology, counseling techniques, client and system advocacy, and referral services.

Evidence Code Section 1035.4 "Confidential Communication Between Sexual Assault Counselor and Victim" Defined

As used in this article, "confidential communication between the sexual assault counselor and the victim" means information transmitted between the victim and the sexual assault counselor in the course of their relationship and in confidence by a means which, so far as the victim is aware, discloses the information to no third persons other than those who are present to further the interests of the victim in the consultation or those to whom disclosures are reasonably necessary for the transmission of the information or an accomplishment of the purposes for which the sexual assault counselor is consulted. The term includes all information regarding the facts and circumstances involving the alleged sexual assault and also includes all information regarding the victim's prior or subsequent sexual conduct, and opinions regarding the victim's sexual conduct or reputation in sexual matters.

The court may compel disclosure of information received by the sexual assault counselor which constitutes relevant evidence of the facts and circumstances involving an alleged sexual assault about which the victim is complaining and which is the subject of a criminal proceeding if the court determines that the probative value outweighs the effect on the victim, the treatment relationship, and the treatment services if disclosure is compelled.

When a court is ruling on a claim of privilege under this article, the court may require the person from whom disclosure is sought or the person authorized to claim the privilege, or both, to disclose the information in chambers out the presence and hearing of all persons except the person authorized to claim the privilege and such other persons as the person authorized to claim the privilege is willing to have present. If the judge determines that the information is privileged and must not be disclosed, neither he or she nor any other person may ever disclose, without the consent of a person authorized to permit disclosure, what was disclosed in the course of the proceedings in chambers.

If the court determines certain information shall be disclosed, the court shall so order and inform the defendant. If the court finds there is a reasonable likelihood that particular information is subject to disclosure pursuant to the balancing test provided in this section, the following procedure shall be followed:

- (1) The court shall inform the defendant of the nature of the information, which may be subject to disclosure.
- (2) The court shall order a hearing out of the presence of the jury, if any, and the hearing allow the questioning of the sexual assault counselor regarding the information which the court has determined may be subject to disclosure.
- (3) At the conclusion of the hearing, the court shall rule which items of information, if any, shall be disclosed. The court may make an order stating what evidence may be introduced by the defendant and the nature of questions to be permitted. The defendant may then offer evidence pursuant to the order of the court. Admission of evidence concerning the sexual conduct of the complaining witness is subject to Sections 352, 782, and 1103.

Evidence Code Section 1035.6 "Holder of the Privilege" Defined

As used in this article, "holder of the privilege" means:

- (a) The victim when such person has no guardian or conservator.
- (b) A guardian or conservator of the victim when the victim has a guardian or conservator.
- (c) The personal representative of the victim if the victim is dead.

Evidence Code Section 1035.8 When Privilege to Refuse to Disclose Confidential Communication May Be Claimed

A victim of a sexual assault, whether or not a party, has a privilege to refuse to disclose, and to prevent another from disclosing, a confidential communication between the victim and a sexual assault victim counselor if the privilege is claimed by:

- (a) The holder of the privilege;
- (b) A person who is authorized to claim the privilege by the holder of the privilege; or
- (c) The person who was the sexual assault victim counselor at the time of the confidential communication, but such person may not claim the privilege if there is no holder of the privilege in existence or if he is otherwise instructed by a person authorized to permit disclosure.

Evidence Code Section 1036 When Counselor Shall Claim Privilege

The sexual assault victim counselor who received or made a communication subject to the privilege under this article shall claim the privilege whenever he is present when the communication is sought to be disclosed and is authorized to claim the privilege under subdivision (c) Section 1035.8.

Evidence Code Section 1036.2 "Sexual Assault" Defined

As used in this article, "sexual assault" includes all of the following:

- (a) Rape, as defined in Section 261 of the Penal Code.
- (b) Unlawful sexual intercourse, as defined in Section 261.5 of the Penal Code.
- (c) Rape in concert with force and violence, as defined in Section 264.1 of the Penal Code.
- (d) Rape of a spouse, as defined in Section 262 of the Penal Code.
- (e) Sodomy, as defined in Section 262 of the Penal Code, except a violation of subdivision (e) of that section.
- (f) A violation of Section 288 of the Penal Code.
- (g) Oral copulation, as defined in Section 288a of the Penal Code, except a violation of subdivision (e) of that section.
- (h) Penetration of the genital or anal opening of another person with a foreign object, substance, instrument, or device, as specified in Section 289 of the Penal Code.
- (i) Annoying or molesting a child under 18, as defined in Section 647a of the Penal Code.
- (j) Any attempt to commit any of the above acts.

CALIFORNIA PENAL CODE

Penal Code Section 13836 – Advisory Committee; Duties; Sex Crime Prosecution Units

The California Emergency Management Agency shall establish an advisory committee, which shall develop a course of training for district attorneys in the investigation and prosecution of sexual assault cases, child sexual exploitation cases, and child sexual abuse cases and shall approve grants awarded pursuant to Section 13837. The courses shall include training in the unique emotional trauma experienced by victims of these crimes.

It is the intent of the Legislature in the enactment of this chapter to encourage the establishment of sex crime prosecution units, which shall include, but not be limited to, child sexual exploitation and child sexual abuse cases, in district attorneys' offices throughout the state.

Penal Code Section 13836.1 – Members of Advisory Committee; Compensation; Expenses

Such committee shall consist of 11 members. Five shall be appointed by the executive director of the California Emergency Management Agency, and shall include three district attorneys or assistant or deputy district attorneys, one representative of a city police department or a sheriff or a representative of a sheriff's department, and one public defender or assistant or deputy public defender of a county. Six shall be public members appointed by the Commission on the Status of Women, and shall include one representative of a rape crisis center, and one medical professional experienced in dealing with sexual assault trauma victims. The committee members shall represent the points of view of diverse ethnic and language groups.

Members of the committee shall receive no compensation for their services but shall be reimbursed for their expenses actually and necessarily incurred by them in the performance of their duties. Staff support for the committee shall be provided by the California Emergency Management Agency.

Penal Code Section 13837 – Rape Victim Counseling Centers

13837. (a) The OES shall provide grants to proposed and existing child sexual exploitation and child sexual abuse victim counseling centers. Grant recipients shall provide appropriate in-person counseling and referral services during normal business hours, and maintain other standards or services which shall be determined to be appropriate by the advisory committee established pursuant to Section 13836 as grant conditions. The advisory committee shall identify the criteria to be utilized in awarding the grants provided by this chapter before any funds are allocated. In order to be eligible for funding pursuant to this chapter, the centers shall demonstrate an ability to receive and make use of any funds available from governmental, voluntary, philanthropic, or other sources which may be used to augment any state funds appropriated for purposes of this chapter.

Appendix 2

Each center receiving funds pursuant to this chapter shall make every attempt to qualify for any available federal funding. State funds provided to establish centers shall be utilized when possible, as determined by the advisory committee, to expand the program and shall not be expended to reduce fiscal support from other public or private sources. The centers shall maintain quarterly and final fiscal reports in a form to be prescribed by the administering agency. In granting funds, the advisory committee shall give priority to centers which are operated in close proximity to medical treatment facilities.

- (b) (1) It is the intent of the Legislature that a goal or purpose of the OES shall be to ensure that all victims of sexual assault and rape receive comprehensive, quality services, and to decrease the incidence of sexual assault through school and community education programs.
- (2) The OES and the advisory committee established pursuant to Section 13836 shall collaboratively administer sexual assault/rape crisis center victim services programs and provide grants to proposed and existing sexual assault services programs (SASPs) operating local rape victim centers. All SASPs shall provide the services in subparagraphs (A) to (G), inclusive, and to the extent federal funding is made available, shall also provide the service described in subparagraph (H). The OES shall provide financial and technical assistance to SASPs in implementing the following services:
 - (A) Crisis intervention, 24 hours per day, seven days per week.
 - (B) Followup counseling services.
 - (C) In-person counseling, including group counseling.
 - (D) Accompaniment services.
 - (E) Advocacy services.
 - (F) Information and referrals to victims and the general public.
 - (G) Community education presentations.
- (3) The funding process for distributing grant awards to SASPs shall be administered as follows:
- (A) The OES and the advisory committee established pursuant to Section **13836** shall collaboratively adopt each of the following:
- (i) The process and standards for determining whether to grant, renew, or deny funding to any SASP applying or reapplying for funding under the terms of the program.
- (ii) For SASPs applying for grants under the RFP process described in subparagraph (B), a system for grading grant applications in relation to the standards established pursuant to clause (i), and an appeal process for applications that are denied. A description of this grading system and appeal process shall be provided to all SASPs as part of the application required under the RFP process.
- (iii) For SASPs reapplying for funding under the RFA process described in subparagraph (D), a system for grading the performance of SASPs in relation to the standards established pursuant to clause (i), and an appeal process for decisions to deny or reduce funding. A description of this grading system and appeal process shall be provided to all SASPs receiving grants under this program.
- (B) Grants for centers that have previously not been funded or were not funded in the previous cycle shall be awarded as a result of a competitive request for proposal (RFP) process. The RFP process shall comply with all applicable state and federal statutes for sexual assault/rape crisis center funding, and to the extent possible, the response to the RFP shall not exceed 25 narrative pages, excluding attachments.

- (C) Grants shall be awarded to SASPs that propose to maintain services previously granted funding pursuant to this section, to expand existing services or create new services, or to establish new sexual assault/rape crisis centers in underserved or unserved areas. Each grant shall be awarded for a three-year term.
- (D) SASPs reapplying for grants shall not be subject to a competitive bidding grant process, but shall be subject to a request for application (RFA) process. The RFA process for a SASP reapplying for grant funds shall consist in part of an assessment of the past performance history of the SASP in relation to the standards established pursuant to subparagraph (A). The RFA process shall comply with all applicable state and federal statutes for sexual assault/rape crisis center funding, and to the extent possible, the response to the RFA shall not exceed 10 narrative pages, excluding attachments.

PENAL CODE SECTION 13835.10

- 13835.10. (a) The Legislature finds and declares all of the following:
- (1) That the provision of quality services for victims of crime is of high priority.
- (2) That existing victim service programs do not have sufficient financial resources to consistently recruit and employ fully trained personnel.
- (3) That there is no consistency in the training provided to the various agencies serving victims.
- (4) That comprehensive training for victim service agencies is geographically limited or unavailable.
- (5) That there is currently no statewide comprehensive training system in place for the state to insure that all service providers receive adequate training to provide quality services to victims of crime.
- (6) It is the intention of the Legislature to establish a statewide training program within the agency or agencies designated by the Director of Finance pursuant to Section 13820 to provide comprehensive standardized training to victim service providers.
- (b) The agency or agencies designated by the Director of Finance pursuant to Section 13820 shall establish a statewide victim-assistance training program, the purpose of which is to develop minimum training and selection standards, certify training courses, and provide funding to enable local victim service providers to acquire the required training.
- (c) (1) For the purpose of raising the level of competence of local victim service providers, the office shall adopt guidelines establishing minimum standards of training for employees of victim-witness and sexual assault programs funded by the office to provide services to victims of crime. The agency or agencies shall establish an advisory committee composed of recognized statewide victim service organizations, representatives of local victim service programs, and others selected at the discretion of the executive director to consult on the research and development of the training, selection, and equivalency standards.
- (2) Any local unit of government, community-based organization, or any other public or private nonprofit entity funded by the agency or agencies as a victim-witness or sexual assault program to provide services to victims of crime shall adhere to the training and selection standards established by the agency or agencies. The standards for sexual assault victim service programs developed by the advisory committee established pursuant to Section 13836 shall be the standards for purposes of this section. With the exception of the sexual assault standards, the agency or agencies shall conduct or contract with an appropriate firm or entity for research on validated standards pursuant to this section in consultation with the advisory committee established pursuant to paragraph (1). The agency or agencies may defer the adoption of the selection standards until the necessary research is completed. Until the standards are adopted, affected victim service programs may receive state funding from the agency or agencies upon certification of their willingness to adhere to the training standards adopted by the agency or agencies.
 - (3) Minimum training and selection standards may include, but

shall not be limited to, basic entry, continuation, supervisory, management, specialized curricula, and confidentiality.

- (4) Training and selection standards shall apply to all victim service and management personnel of the victim-witness and sexual assault agencies funded by the agency or agencies to provide services to victims of crime. Exemptions from this requirement may be made by the agency or agencies. An agency which, despite good faith efforts, is unable to meet the standards established pursuant to this section, may apply to the agency or agencies for an exemption. For the purpose of exemptions, the agency or agencies may establish procedures that allow for partial adherence. The agency or agencies may develop equivalency standards which recognize professional experience, education, training, or a combination of the above, for personnel hired before July 1, 1987.
- (5) Nothing in this section shall prohibit an agency, funded by the agency or agencies to provide services to victims of crime, from establishing training and selection standards which exceed the minimum standards established by the agency or agencies pursuant to this section.
- (d) For purposes of implementing this section, the agency or agencies has all of the following powers:
- (1) To approve or certify, or both, training courses selected by the agency or agencies.
- (2) To make those inquiries which may be necessary to determine whether every local unit of government, community-based organization, or any other public or private entity receiving state aid from the agency or agencies as a victim-witness or sexual assault program for the provision of services to victims of crime, is adhering to the standards for training and selection established pursuant to this section.
- (3) To adopt those guidelines which are necessary to carry out the purposes of this section.
- (4) To develop or present, or both, training courses for victim service providers, or to contract with coalitions, councils, or other designated entities, to develop or present, or both, those training courses.
- (5) To perform other activities and studies necessary to carry out the intent of this section.
- (e) (1) The agency or agencies may utilize any funds that may become available from the Victim-Witness Assistance Fund to fund the cost of training staff of victim service agencies which are funded by the agency or agencies from the fund. The agency or agencies may utilize federal or other state funds that may become available to fund the cost of training staff of victim service agencies which are not eligible for funding from the Victim-Witness Assistance Fund.
- (2) Peace officer personnel whose jurisdictions are eligible for training subvention pursuant to Chapter 1 (commencing with Section 13500) of Title 4 of this part and correctional or probation personnel whose jurisdictions are eligible for state aid pursuant to Article 2 (commencing with Section 6035) of Chapter 5 of Title 7 of Part 3 are not eligible to receive training reimbursements under this section unless the person receiving the training is assigned to provide victim services in accordance with a grant award agreement with the agency or agencies and is attending training to meet the established standards.